

Rev. 04/01

Docket No. CF/013

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11-26-02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Glenn D. Kirwin et al.  
Application No. : 09/745,651 Confirmation No.: 1536  
Filed : December 22, 2000  
For : SYSTEMS AND METHODS FOR PROVIDING A  
TRADING INTERFACE  
Group Art Unit : 2161  
Examiner : Not yet assigned

New York, New York  
November 13, 2002

Commissioner for Patents  
Post Office Box 2327  
Arlington, Virginia 22202

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**TRANSMITTAL LETTER FOR SUPPLEMENTAL  
INFORMATION DISCLOSURE STATEMENT**

Sir:

Transmitted herewith is a Supplemental Information Disclosure Statement  
in connection with the above-identified application. This Statement is submitted:

- ☐ within three months of the application filing date;  
☒ more than three months from the application filing date but before  
the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires  
no fee. However, if for any reason a fee is due, the Director is hereby authorized to  
charge payment of any fees required in connection with this Information Disclosure

Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

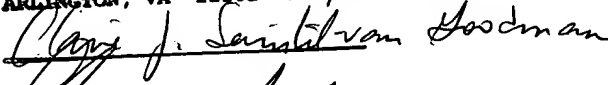



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

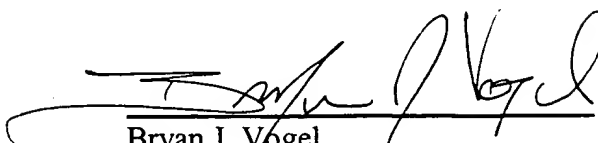
Sir:

Supplemental to the Information Disclosure Statement filed on December 13, 2001 in connection with the above-captioned patent application, applicants hereby make of record U.S. Patent 5,809,483, issued September 15, 1998 to Broka et al. (copy enclosed). Form PTO/SB/08A listing same is submitted herewith.

It is respectfully requested that this reference be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants request that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communications.

An early and favorable action is respectfully requested.

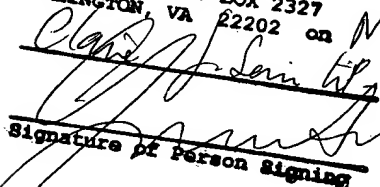
Respectfully submitted,



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*Classified by Sam H. van Hoedeman*  
*November 13, 2002*  
  
Signature of Person Signing